

# Compatibility Testing

## using

# Patterns-based Trace Comparison

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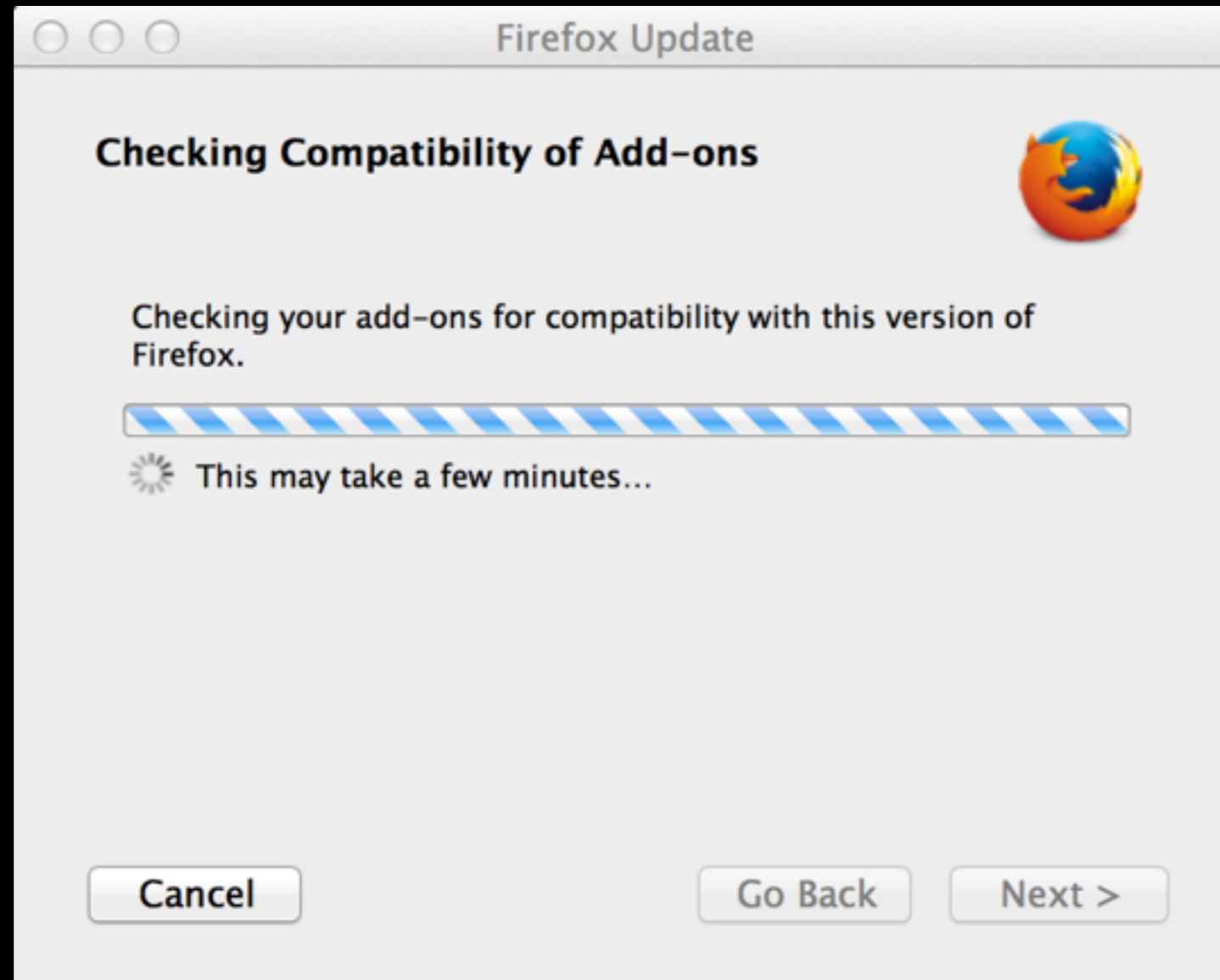
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Thanks to Microsoft Research (India) and USB team (at Microsoft) for supporting this effort.

# Compatibility Testing



# Compatibility Testing: Syntactic Changes

client.java

```
service.getProperty("name")
```

service.java

```
void getProperty(PropertyId id) {  
    ...  
}
```

# Compatibility Testing: Semantic Changes

## client1.c

```
s.q = c;  
f(&s);  
s.q = c;  
g(&s);
```

## client2.c

```
s.q = c;  
f(&s);  
  
g(&s);
```

## serviceV1.c

```
@pre s.q == c  
void f(Record *s) {  
    ...  
    // no changes to s.q  
}
```

```
@pre s.q == c  
void g(Record *s) {  
    ...  
}
```

# Compatibility Testing: Semantic Changes

## client1.c

```
s.q = c;  
f(&s);  
s.q = c;  
g(&s);
```

## client2.c

```
s.q = c;  
f(&s);  
  
g(&s);
```

**Incorrect!!**

## serviceV2.c

```
@pre s.q == c  
void f(Record *s) {  
    ...  
    s.q = 0;  
}
```

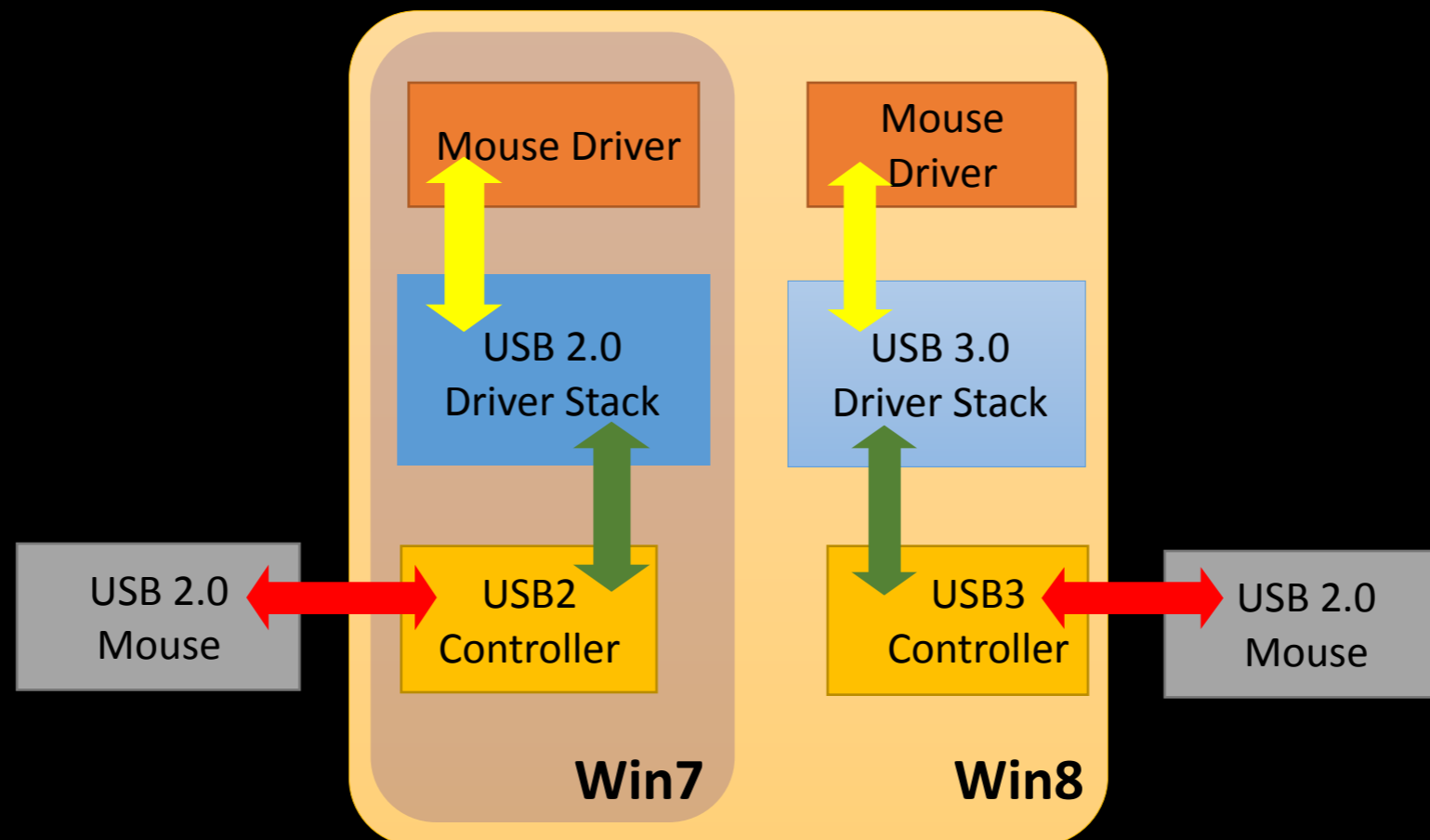
```
@pre s.q == c  
void g(Record *s) {  
    ...  
}
```

# Common Reasons for Semantic Incompatibilities

- Breaking semantic changes
- Observational dependences and influences
- Weak specifications
- Assumptions

# Compatibility Testing of Windows USB drivers

When a USB 2.0 device is plugged into a USB 3.0 port on Win8, will USB 3.0 driver in Win8 behave similar to the USB 2.0 stack in Win7 (along both software and hardware interfaces)?



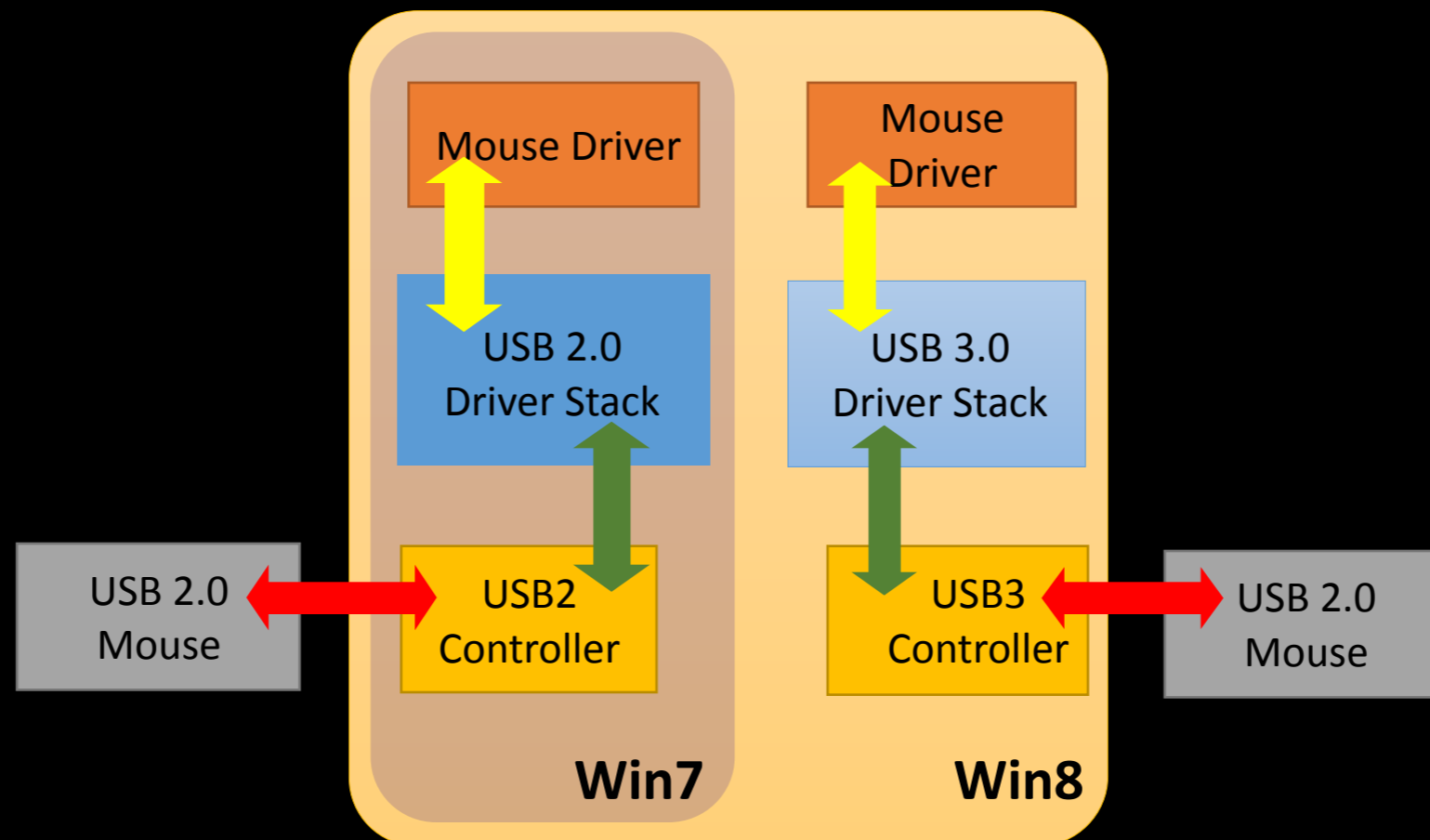
# Why is it hard?

- Clean room implementation of USB 3 driver
  - No part of USB 2 driver was reused
- Regression tests were insufficient
- Large testing surface
  - Number of unique USB devices
  - Possibilities in USB protocol
- Multiple layers of variability
  - Device drivers, Controllers, & ASIC in devices

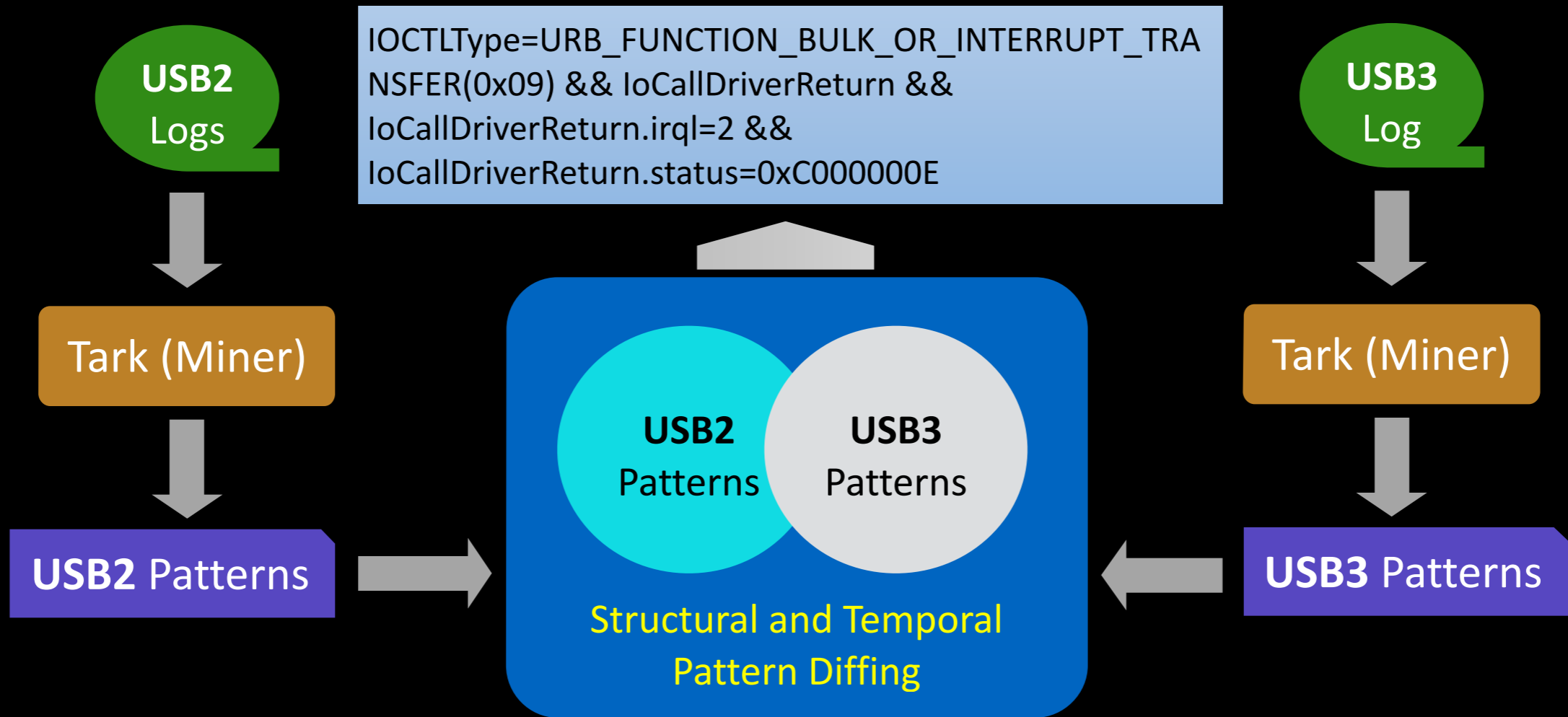


# Compatibility Testing of Windows USB drivers

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# Compatibility Testing using Patterns-based Trace Comparison



IOCTLType=URB\_FUNCTION\_BULK\_OR\_INTERRUPT\_TRANSFER(0x09) && IoCallDriverReturn && IoCallDriverReturn.irql=2 && IoCallDriverReturn.status=0xC000000E

USB2 Logs

USB3 Log

Tark (Miner)

Tark (Miner)

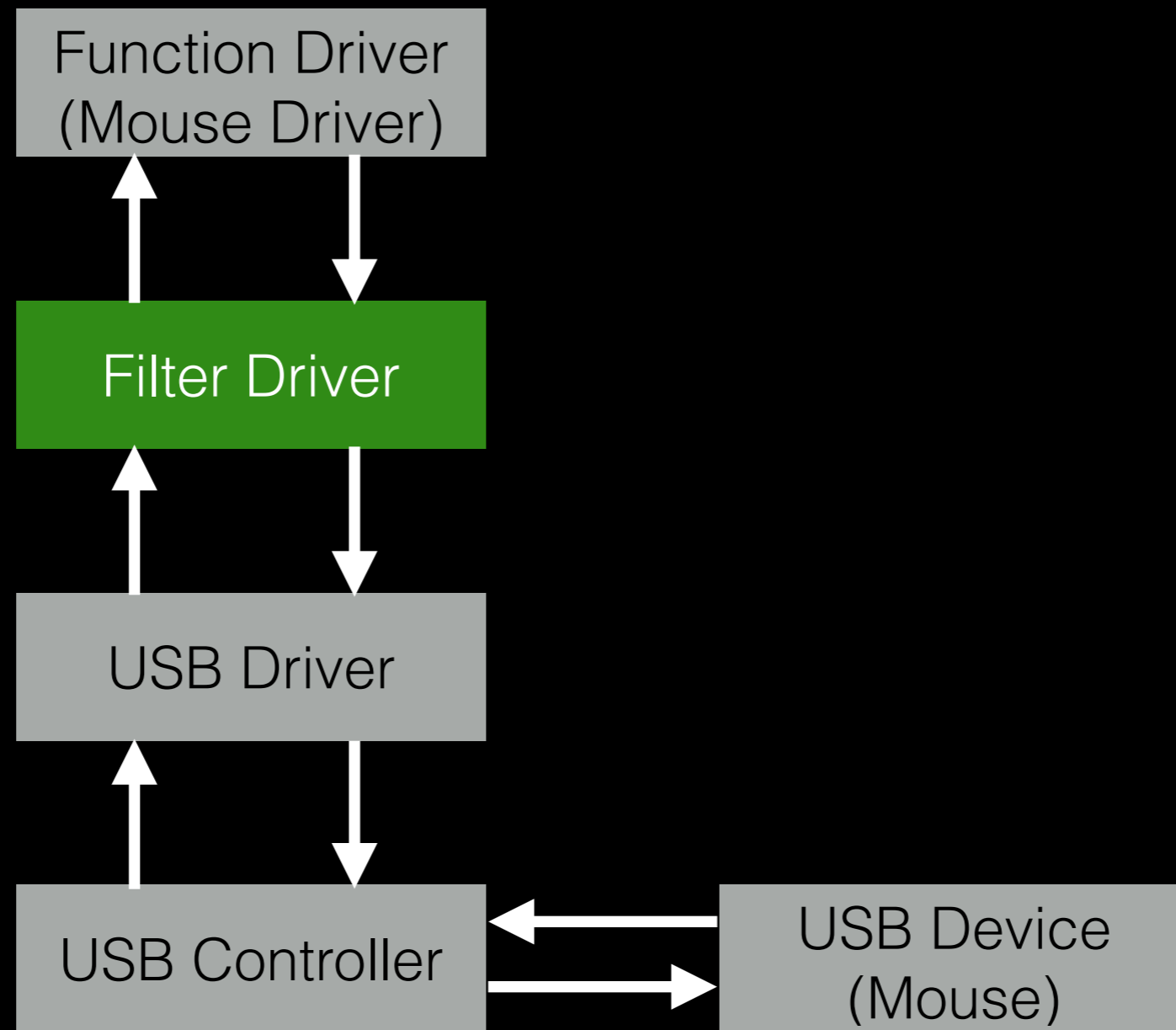
USB2 Patterns

USB3 Patterns

USB2 Patterns  
USB3 Patterns  
Structural and Temporal Pattern Diffing

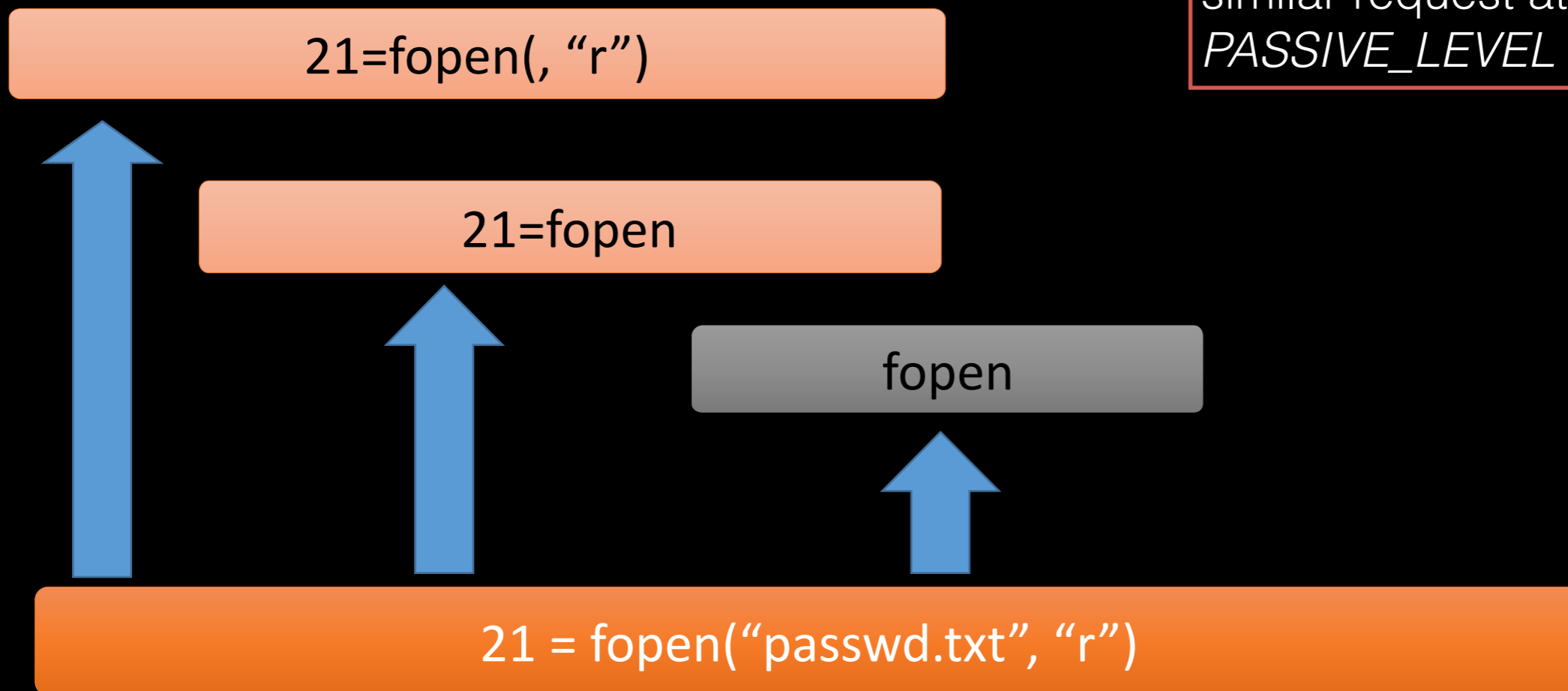
DispatchIrp *forward alternates with* IrpCompletion && PreIoCompleteRequest *when*  
IOCTLType=IRP\_MJ\_PNP(0x1B), IRP\_MN\_START\_DEVICE(0x00), irpID=SAME, and IrpSubmitDetails.irp.ioStackLocation.control=SAME

# Trace Collection

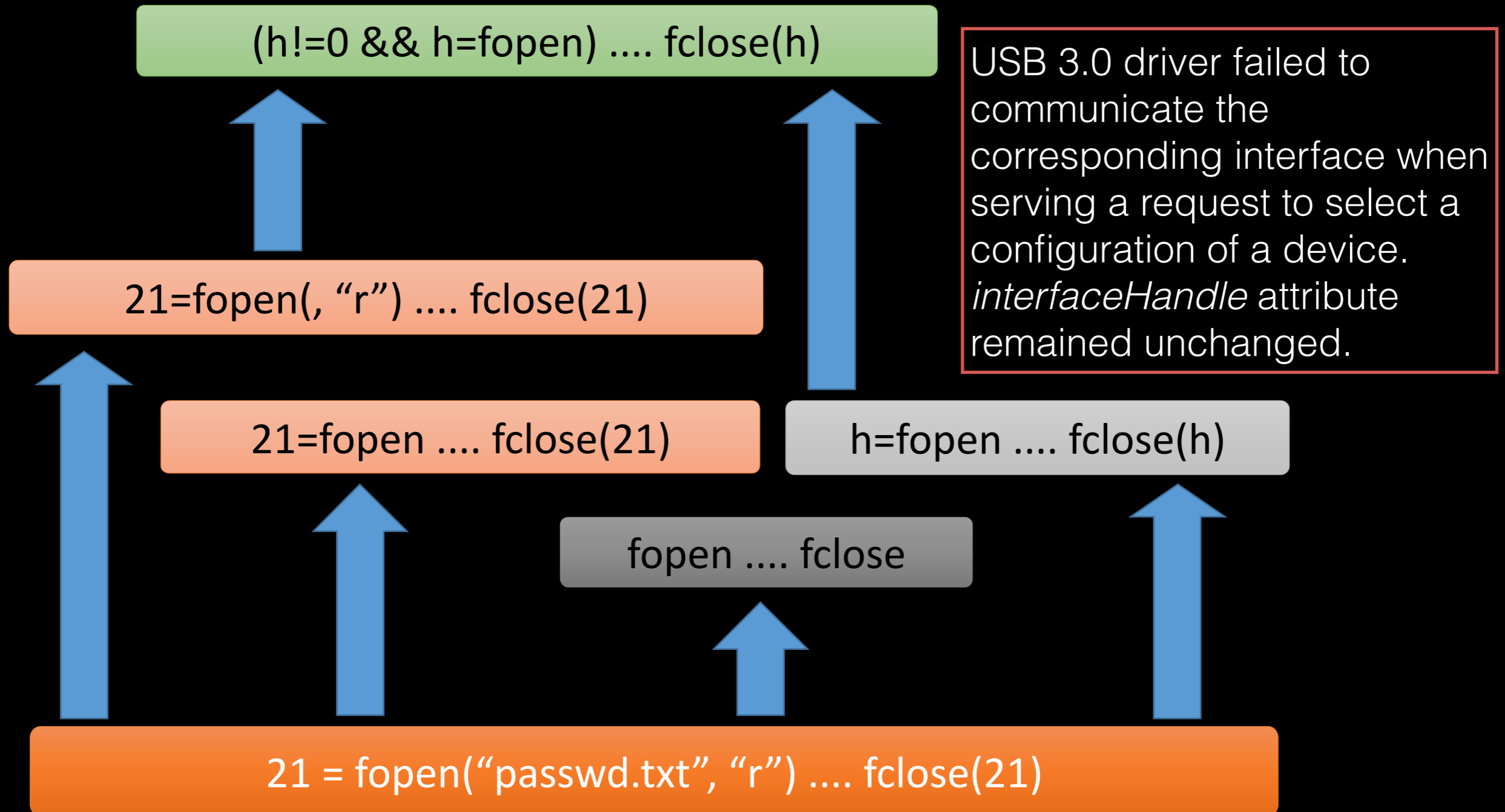


# Structural Patterns

USB 2.0 driver completed *isochronous requests* at *DISPATCH\_LEVEL IRQ* while USB 3.0 driver completed similar request at *PASSIVE\_LEVEL IRQ*.



# Temporal Patterns with Data Flow



# What is reported?

Presence of previously unobserved patterns

$$USB3(dev_k) - \bigcup_i USB2(dev_i)$$

Absence of previously observed patterns

$$\bigcup_i USB2(dev_i) - USB3(dev_k)$$

*Comment: This should be intersection*

# Is it effective?

We detected 14 unique bugs (25 bugs) by testing 14 devices with regression tested USB 3.0 driver.

# Is it expensive?

- Worst case *mining time* was 115 minutes
- Worst case *diffling time* was 48 minutes
- *Non-empty reports analysis* took ~2 hours
- Few reports required 24 hours



# Domain Knowledge

# of attributes: 361

# of ignored attributes: 108 ( $361 - 108 = 253$ )

# of necessary attributes: 29 ( $253 - 29 = 224$ )

# of NULL abstracted attributes: 23

# of unquantifiable attributes: 75

# of quantifiable attributes: 150

# of data flows: 17 (between 26 attributes)

# User Feedback

Device	Known	Detected	Simplified	Compacted	Reported	False +ve	Structural	Temporal
1	0	9844	932	478	478	11 + 454	6 / 9	4 / 4
2*	932	2545	121	63	15	0 + 11	1 / 1	1 / 3
3	965	743	41	21	4	1 + 0	0 / 0	1 / 3
4	965	1372	67	34	2	1 + 1	0 / 0	0 / 0
5*	2141	26118	1114	571	55	26 + 29	0 / 0	0 / 0
6	2141	26126	1054	541	0	0 + 0	0 / 0	0 / 0
7	2141	2320	84	44	0	0 + 0	0 / 0	0 / 0
8	2141	27804	1185	608	2	1 + 0	1 / 1	0 / 0
9	2141	34985	413	217	115	2 + 96	2 / 14	2 / 3
10	2141	51556	429	231	59	15 + 41	1 / 1	2 / 2
11	2141	695	35	18	0	0 + 0	0 / 0	0 / 0
12	2141	1372	67	34	0	0 + 0	0 / 0	0 / 0
13	2141	3315	122	72	24	19 + 4	1 / 1	0 / 0
14*	2141	9299	103	54	3	0 + 0	2 / 3	0 / 0

# Smart Presentation

Device	Known	Detected	Simplified	Compacted	Reported	False +ve	Structural	Temporal
1	0	9844	932	478	478	11 + 454	6 / 9	4 / 4
2*	932	2545	121	63	15	0 + 11	1 / 1	1 / 3
3	965	743	41	21	4	1 + 0	0 / 0	1 / 3
4	965	1372	67	34	2	1 + 1	0 / 0	0 / 0
5*	2141	26118	1114	571	55	26 + 29	0 / 0	0 / 0
6	2141	26126	1054	541	0	0 + 0	0 / 0	0 / 0
7	2141	2320	84	44	0	0 + 0	0 / 0	0 / 0
8	2141	27804	1185	608	2	1 + 0	1 / 1	0 / 0
9	2141	34985	413	217	115	2 + 96	2 / 14	2 / 3
10	2141	51556	429	231	59	15 + 41	1 / 1	2 / 2
11	2141	695	35	18	0	0 + 0	0 / 0	0 / 0
12	2141	1372	67	34	0	0 + 0	0 / 0	0 / 0
13	2141	3315	122	72	24	19 + 4	1 / 1	0 / 0
14*	2141	9299	103	54	3	0 + 0	2 / 3	0 / 0

# Lessons Learned

- If domain knowledge is available, use it
- If a feedback loop can be established, set it up
- Presentation matters
- Embrace the unorthodox

# Limitations

- Detects a class of incompatibilities

# Threats to Validity

- Generalization needs more experiments
- Effect of latent factors need to be studied

# Key Takeaways

- An approach to compatibility testing via patterns-based trace comparison.
- The use of structural and temporal patterns as trace abstractions to enable software engineering and maintenance tasks.
- Of course, the lessons learned :)